

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 156906-0016  
First Named Inventor: Philippe A. Charrin  
Prior Application Information:  
Serial No. 09/832,243  
Examiner: Thien Minh Le  
Art Unit: 2876

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation ☐ divisional ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/832,243 filed  
on April 9, 2001, by

**Philippe A. Charrin, entitled:**

**COMBINED SMARTCARD AND MAGNETIC-STRIPE CARD AND  
READER AND ASSOCIATED METHOD**

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**I. APPLICATION ELEMENTS ENCLOSED**

29 Page(s) of Written Description  
11 Page(s) of Claims  
1 Page(s) of Abstract  
6 Sheet(s) of Drawings ☒ formal ☐ informal  
2 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney  
☒ Copy from prior application [37 CFR §1.63(d)]  
☐ Newly executed  
1 Page(s) of Power of Attorney  
1 Page(s) of Change of Correspondence Address

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 1450.

EV330868963 US

Express Mail Label No.

August 4, 2003

Date of Deposit

Connie Kwon

Name of Person Mailing Paper

Connie Kwon

Signature of Person Mailing Paper



- ☐ Assignment papers (cover sheet and documents(s))
- ☒ An Information Disclosure Statement, PTO 1449, ☒ without copies of cited items.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35

**II. FEE CALCULATION**

- ☒ Applicant claims small entity status under 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						<b>\$750.00</b>
Total Claims	1	-	20	=	0 x \$18.00	\$0.00
Independent Claims	1	-	3	=	0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$750.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, claim of small entity status made above.						<input checked="" type="checkbox"/> \$375.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
<b>TOTAL FEES DUE HERewith</b>						<b>\$375.00</b>

**III. PRIORITY - 35 USC § 119**

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in related U.S. application Serial No. \_\_\_\_\_.
- ☐ The certified copy will follow.

**IV. AMENDMENTS**

- ☒ Cancel in this application original Claims 2-34 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**V. RELATE BACK - 35 USC § 120**

- ☒ Relate back information included in preliminary amendment or specification.
- ☐ Please amend the specification as follows:  
[Enter continuing data here]
- ☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☒ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:  
[Name(s) of inventor(s) to be deleted]

**VI. ASSIGNMENT**

- ☒ The prior application is assigned of record to Smart Card Integrators, Inc.

Smart Card Integrators, Inc.

Name of Assignee

1380 W. Washington Blvd., Los Angeles, California 90007

Address of Assignee

Assignment recorded in PTO on June 5, 2003, Reel 013711, Frame 0960

- ☐ An Assignment of the invention to \_\_\_\_\_ is attached.

**VII. METHOD OF PAYMENT OF FEES**

- ☐ Attached is a check in the amount of \_\_\_\_\_.
- ☐ Charge Irell & Manella's Deposit Account No. **09-0946** in the amount of \_\_\_\_\_.
- ☒ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

**VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☐ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 (Application processing fees)

- ☐ 37 CFR § 1.18 (Issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR § 1.311(b))
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

**IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in prior application Serial No. \_\_\_\_\_.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 29000:



IRELL & MANELLA LLP  
Suite 900  
1800 Avenue of the Stars  
Los Angeles, CA 90067-4276  
Telephone: (310) 277-1010  
Facsimile: (310) 277-7199

Please direct all inquiries to Christopher A. Vanderlaan, at the above customer number.

**X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**XI. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

IRELL & MANELLA LLP

By:   
Christopher A. Vanderlaan  
Reg. No. 37,747

Dated: August 4, 2003

Enclosures

Please type a plus sign (+) inside this box →




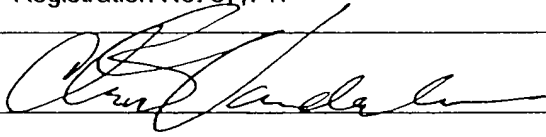
PTO/SB/122 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>CHANGE OF CORRESPONDENCE ADDRESS Application</b>  Address to: Commissioner for Patents Washington, D.C. 20231	<b>Application Number</b>	09/832,243
	<b>Filing Dat</b>	April 9, 2001
	<b>First Named Inventor</b>	Philippe A. Charrin
	<b>Group Art Unit</b>	Not yet assigned
	<b>Examiner Name</b>	Not yet assigned
	<b>Attorney Docket Number</b>	156906-0007 (prev. 261/004)

Please change the Correspondence Address for the above-identified application to:					
<input checked="" type="checkbox"/> Customer Number	29000		→		
Type Customer Number here			 <b>29000</b> PATENT & TRADEMARK OFFICE		
OR					
<input checked="" type="checkbox"/> Firm or Individual Name	Christopher A. Vanderlaan, Esq. IRELL & MANELLA, LLP				
Address	1800 Avenue of the Stars, Suite 900				
Address					
City	Los Angeles	State	California	ZIP	90067
Country	USA				
Telephone	(310) 277-1010	Fax	(310) 203-7199		
<p>This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).</p> <p>I am the :</p> <p><input type="checkbox"/> Applicant.</p> <p><input type="checkbox"/> Assignee of record of the entire interest. Certificate under 37 CFR 3.73(b) is enclosed.</p> <p><input checked="" type="checkbox"/> Attorney or agent of record.</p> <p><input type="checkbox"/> Registered practioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number</p>					
Typed or Printed Name	Christopher A. Vanderlaan Registration No. 37,747				
Signature					
Date	July 6, 2001				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.					
<input checked="" type="checkbox"/> *Total of 1 form is submitted.					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.